



EXPORT CONTROL INTERNATIONAL SHIPMENT FORM

Equipment and materials may not be sent or carried outside of the U.S. until it is determined if an export license or other authorization is required. The information on this form is used to make export licensing determinations. The request should be submitted to the Export Control Office as far in advance of the shipping date as possible since it can take on average 3 months to obtain a license if required. Until you receive approval from Export Control, item(s) may not be transferred or carried to a foreign destination. **Please complete Sections 1-5** and return to exportcontrol@uh.edu.

SECTION 1: CONTACT INFORMATION			
Sender Name:		College/Division:	Department:
Requested on behalf of (if different from sender):			
SECTION 2: METHOD OF SHIPMENT			
<input type="checkbox"/> Hand-carried and/or as baggage		<input type="checkbox"/> Shipment via freight forwarder or transport carrier	
Traveler Name:		<input type="checkbox"/> FedEx <input type="checkbox"/> UPS <input type="checkbox"/> Other:	
Traveler Affiliation to item:		<input type="checkbox"/> Item will be returned to the U.S.	
Dates of Travel:		When:	
Will the item return to the U.S. with traveler? <input type="checkbox"/> No <input type="checkbox"/> Yes		How:	
SECTION 3: RECIPIENT AND DESTINATION			
Recipient Name:		Institution:	Country:
Address (City, State/Province/Region & Postal Code):			
What is the purpose of the shipment? Select all that apply. <input type="checkbox"/> Research Collaboration <input type="checkbox"/> Repair <input type="checkbox"/> Equipment Loan			
<input type="checkbox"/> Material Transfer Agreement <input type="checkbox"/> Sale of Equipment <input type="checkbox"/> Other:			
Will the end-user be involved in any of the following activities or industries?			
<input type="checkbox"/> Nuclear activities <input type="checkbox"/> Chemical or Biological Weapons <input type="checkbox"/> Missile Technology <input type="checkbox"/> Proliferation Activities <input type="checkbox"/> None			
What is the intended use of the item?			
Will foreign national end-users be trained on how to use the equipment/material? <input type="checkbox"/> No <input type="checkbox"/> Yes			
SECTION 4: EQUIPMENT/MATERIAL			
Item:			<input type="checkbox"/> *UH Built
Manufacturer:	Model/Serial #:	URL:	
Function & Use:			Value: \$
Provide the export control classification (ECCN or USML category) of the items shipped, if known:			

***If UH built, please attach technical specifications (i.e., PowerPoint, published papers, poster, etc.).**



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SECTION 5: COMPLIANCE QUESTIONS

Does the shipment involve biological material, chemicals, compounds, or mixtures? ☐ No ☐ Yes. Complete questions A-G.

A. Is the material a human or animal pathogen/toxin (virus, bacteria, fungus)?

☐ No ☐ Yes. Identify:

B. Is the material a "genetically modified organism" that contains a human or animal pathogen/toxin? *"Genetically modified organisms" include organisms in which the nucleic acid sequences have been created or altered by deliberate molecular manipulation.*

☐ No ☐ Yes. Identify:

C. Is the material a "genetic element" that codes for any gene(s) or translated product(s) specific to a human or animal pathogen/toxin? (e.g. lentiviral plasmid encoding VSV-G) *"Genetic elements" include, inter alia, chromosomes, genomes, plasmids, transposons, vectors, and inactivated organisms containing recoverable nucleic acid fragments, whether genetically modified or unmodified, or chemically synthesized in whole or in part. Nucleic acids from an inactivated organism, virus, or sample are considered to be 'recoverable' if the inactivation and preparation of the material is intended or known to facilitate isolation, purification, amplification, detection, or identification of nucleic acids.*

☐ No ☐ Yes. Identify:

D. Is the material a plant pathogen (virus, bacteria, fungus)? ☐ No ☐ Yes. Identify:

E. Is the material a chemical, compound, or mixture? ☐ No ☐ Yes. Complete questions 1-2.

1. Does the material have a CAS number? ☐ No ☐ Yes. Identify:

2. Please review Category 1 of the [Commerce Control List \(CCL\)](#) at [1C111](#) and [1C350](#) and [Category V](#) and [Category XIV](#) of the [U.S. Munitions List \(USML\)](#). Is the material identified on either list? ☐ No ☐ Yes. Identify:

F. Does the shipment contain Hazardous Materials or controlled biologics? ☐ No ☐ Yes. Identify:

If yes, do you have approval from EHS to ship the item to the intended recipient? ☐ No ☐ Yes

G. Excluding transfers back to the U.S., will the material be re-exported/transferred to a different country or recipient than what has been identified in Section 3? ☐ No ☐ Yes. Explanation:

SECTION 6: EXPORT CONTROL OFFICE USE ONLY

ECCN/USML Category: RPS Results: RPS Escalation: ☐ No ☐ Yes:

Export License Required: ☐ No ☐ Yes: EEI Required: ☐ No ☐ Yes ☐ Other:

Comments:

Approved by:

Date: